

B2 34. (Amended) The method of claim 30, wherein there is included the step of determining the amount of the industry allocation for a selected one industry group as dependent on the amount of said universe total.

B3 37. (Amended) The method of claim 35, wherein there is further included the step of reiteratively comparing said industry allocation of said one industry group with at least first and second limits, said second limit being greater than said first limit; if said industry allocation of said one industry group is greater than said first limit, allocating said industry allocation of said one industry group among a first number of securities of said one [utility] industry group; and if said industry allocation of said one industry group is greater than said second limit, allocating said industry allocation of said one industry group among a second number of securities of said one industry group, said second number being greater than said first number.

Amended 40. (Amended) A method of allocating an investment among a population of securities, each security having at least one corresponding updatable data element, said method comprising the steps of:

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- a) assigning each security of said population to a corresponding industry group of a plurality of industry groups;
 - b) summing said data element of each security of said population to provide an industry total of the data element for each of said corresponding industry groups and an universe total of the data elements of each security of said population;
 - c) allocating an industry allocation [to each] to each industry group of said plurality; and
 - d) repeat step a) of assigning at selected times to accurately account for those securities which has changed their industry.

B5 42. (Amended) A method of allocating an investment among a population of securities, each security of said population having at least one corresponding data element, said method comprising the steps of:

- a) assigning each security of said population to a corresponding one industry group of a plurality of industry groups;
- b) summing said data element of each security of said one industry group to provide an industry total of the data elements of said one industry group;
- c) allocating an industry allocation to a plurality of securities of said one industry group; and
- B5 d) determining whether said data element of one of said plurality of securities of said one industry group is greater than said data element of another of said plurality of securities of said one industry group by a predetermined amount and, if not, allocating said industry allocation [substantially] equally to said one and said other securities of said one industry group.

43. (Amended) The method of claim 42, wherein if said data element of said one security is greater than said data element of said other security of said one industry group by more than said predetermined amount, allocating said allocation only [in the greater of] to said one and said other securities with the greater data element.

B6 47. (Amended) A method of allocating an investment among a population of securities, each security having at least one corresponding data element, said method comprising the steps of:

- a) assigning each security of said population to a corresponding one industry group of a plurality of industry groups;
- b) summing said [date] data element of each security of said population to provide an industry total of the data elements of each of said corresponding industry groups of said plurality and a universe total of the data elements of each security of said population; [and]
- c) dividing selectively an industry allocation into at least first and second parts;
- d) allocating said first and second parts selectively among two of said corresponding securities of said one industry group having the largest data elements; and